

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Donaldson et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date:

Group Art Unit:

Title: Slotted Substrates and Methods and Systems for Forming Same

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ The citations listed are submitted in compliance with the duty of disclosure defined in 37 CFR 1.56. Copies of the cited references were cited of submitted with the priority application and are therefore not submitted herewith.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EV355227461US**

Date of Deposit **8/19/2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By \_\_\_\_\_

Typed Name: **Laurie S. Morgan**

Respectfully submitted;

**Donaldson et al.**

By 

**Paul W. Mitchell**

Attorney/Agent for Applicant(s)

Reg. No. **44,453**

Date: **8/19/2003**

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10015085-7

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Donaldson et al.

FILING DATE

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,299,673	Oct. 9, 2001	Field et al.	
	1B	6,132,033	Oct. 17, 2000	Browning et al.	
	1C	6,109,744	Aug. 29, 2000	Nishimura	
	1D	6,053,599	Apr. 25, 2000	Maeda	
	1E	6,224,197	May 1, 2001	Hirasawa et al.	
	1F	6,199,980	Mar. 13, 2001	Fisher et al.	
	1G	6,161,923	Dec. 19, 2000	Pidwerbecki et al.	
	1H	6,113,223	Sep. 5, 2000	Tanaka et al.	
	1I	6,062,681	May 16, 2000	Field et al.	
	1J	6,039,437	Mar. 21, 2000	Tsujimoto	
	1K	6,003,986	Dec. 21, 1999	Keefe	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>10015085-7</b>	APPLICATION NO.	CONFIRMATION NO.
	APPLICANT <b>Donaldson et al.</b>		
	FILING DATE	GROUP	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,980,017	Nov. 9, 1999	Sato	
	1B	5,519,423	May 21, 1996	Moritz, III et al.	
	1C	5,387,314	Feb. 7, 1995	Baughman et al.	
	1D	5,317,346	May 31, 1994	Garcia	
	1E	6,280,642	Aug. 28, 2001	Ikeda et al.	
	1F	6,270,198	Aug. 7, 2001	Ahn et al.	
	1G	6,019,457	Feb. 1, 2000	Silverbrook	
	1H	5,751,317	May 12, 1998	Peeters et al.	
	1I	2001/0003460	Jun. 14, 2001	Yoshihira et al.	
	1J	6,331,055	Dec. 18, 2001	Miller et al.	
	1K	6,273,557	August, 2001	Milligan et al.	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	2001-58404	Mar 6, 2001	Takada et al.		
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	
1R	
1S	
EXAMINER	DATE CONSIDERED